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1FUPractitioner's Docket No. END919970019US2**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: G. L. Cole

Application No.: 09 / 591,714 Group No.: 2122

Filed: 6/12/00 Examiner: H. V. Nguyen

For: SYSTEM &amp; METHOD FOR MANAGING SYSTEM CONFIGURATION ACROSS NETWORK

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**AMENDMENT, REQUEST AND FEE TO ADD NAMES OF INVENTOR(S) OF  
INVENTION ORIGINALLY DISCLOSED AND NOW BEING CLAIMED**

(37 C.F.R. 1.48(c))

**—NONPROVISIONAL APPLICATION—**

NOTE: "If a nonprovisional application discloses unclaimed subject matter by an inventor or inventors not named in the application, the application may be amended to add claims to the subject matter and name the correct inventors for the application." 37 C.F.R. § 1.48(c).

1. This amendment and request under 37 C.F.R. § 1.48(c) is to add the name(s) of the following person(s) as inventor(s) and who is (are) the inventor(s) of the invention now being claimed:

Add Thomas E. Chefalas and Jeffrey Thomas Kreulen as co-inventors.

Thomas E. Chefalas, Jeffrey Thomas Kreulen & Gary Cole are inventors.

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 \*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

**TRANSMISSION**

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date: 6/7/05

Signature

Georgia Y. Brundage

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Amendment, Request and Fee to Names of Inventors of Invention Originally Disclosed and Now Being Claimed—Nonprovisional Application (37 C.F.R. § 1.48(c) [9-21.1]—page 1 of 2)

2. Claim(s) Now on File

The claim(s) in this application are as follows:

*(check and complete all applicable items)*

- ☒ originally filed claim(s) 1 - 15
- ☐ originally filed claim(s) \_\_\_\_\_,  
as amended on \_\_\_\_\_.
- ☐ claim(s) \_\_\_\_\_  
filed on \_\_\_\_\_.
- ☐ claim(s) \_\_\_\_\_  
filed on \_\_\_\_\_, as amended on \_\_\_\_\_.

3. Status of Inventorship After Amendment

*(check the following item if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed)*

- ☒ Attached is an explanation of the facts, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Statement From Each Person Being Added As Inventor (37 C.F.R. § 1.48(c)(1))

- ☐ Attached is a statement from each person being added as an inventor that the amendment is necessitated by amendment of the claims and that the inventorship error occurred without deceptive intent on his or her part.

5. Declaration (37 C.F.R. § 1.48(c)(2))

- ☒ Attached is a declaration by the actual inventor or inventors as required by § 1.63 (or as permitted by §§ 1.42, 1.43 or 1.47)

6. Assent of Assignee of Any Originally Named Inventor(s) (37 C.F.R. § 1.48(c)(4))

- ☒ Attached is the written consent of the assignee of the originally named inventor(s).

7. Fee (37 C.F.R. § 1.17(ii))

The fee required by is paid as follows:

- ☐ Enclosed is a check for \$130.00.
- ☐ Charge Account \_\_\_\_\_ for any fee deficiency.
- ☒ Charge Account 09-0457 the sum of \$130.00.

  
SIGNATURE OF PRACTITIONER

Reg. No.: 31,297

Arthur J. Samodovitz  
*(type or print name of practitioner)*  
IBM Corporation  
1701 North Street

Tel. No.: ( 607 ) 429-4368

P.O. Address  
Endicott, NY 13760

Customer No.: 26,502

(Amendment, Request and Fee to Names of Inventors of Invention Originally Disclosed and Now Being Claimed—Nonprovisional Application (37 C.F.R. § 1.48(c) [9-21.1]—page 2 of 2)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application:	:	Group Art Unit: 2122
G. L. Cole	:	Examiner: H. V. Nguyen
Serial No.: 09/591,714	:	IBM Corporation
Filed: 6/12/2000	:	Intellectual Property Law
Title: SYSTEM & METHOD FOR MANAGING	:	Department IQ0A/040-3
SYSTEM CONFIGURATION ACROSS	:	1701 North Street
NETWORK	:	Endicott, NY 13760

Assistant Commissioner For Patents  
Washington, DC 20231

Sir:

STATEMENT OF NEWLY NAMED INVENTOR

IBM is the assignee of the entire patent application, including all claims. IBM Assents to the addition of Thomas E. Chefalas and Jeffrey T. Kreulen as co-inventors with Gary L. Cole for the above-identified patent application.

Respectfully submitted,

Arthur J. Samodovitz

Reg. No: 31,297

Date:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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G. L. Cole	:	Examiner: H. V. Nguyen
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Title: SYSTEM & METHOD FOR MANAGING	:	Department IQ0A/040-3
SYSTEM CONFIGURATION ACROSS	:	1701 North Street
NETWORK	:	Endicott, NY 13760

Assistant Commissioner For Patents  
Washington, DC 20231

Sir:

STATEMENT OF NEWLY NAMED INVENTOR

I, Thomas E. Chefalas declare that I am a true inventor of the above referenced patent application and that my name was unintentionally left off the declaration without deceptive intent.

I contributed the concept of the utility server that houses and manages the updates. All the claims are commonly owned by IBM.

Respectfully submitted,

 5/31/2005  
Thomas E. Chefalas

Date: 5/31/2005  
214 Brianwood Drive  
Somers, NY 10589



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application:	:	Group Art Unit: 2122
G. L. Cole	:	Examiner: H. V. Nguyen
Serial No.: 09/591,714	:	IBM Corporation
Filed: 6/12/2000	:	Intellectual Property Law
Title: SYSTEM & METHOD FOR MANAGING	:	Department IQ0A/040-3
SYSTEM CONFIGURATION ACROSS	:	1701 North Street
NETWORK	:	Endicott, NY 13760

Assistant Commissioner For Patents  
Washington, DC 20231

Sir:

STATEMENT OF NEWLY NAMED INVENTOR

I, Jeffrey Thomas Kreulen declare that I am a true inventor of the above referenced patent application and that my name was unintentionally left off the declaration without deceptive intent.

I contributed the concept of a middle tier for aggregating updates from the utility and distributing them efficiently to the clients. All the claims are commonly owned by IBM.

Respectfully submitted,

Jeffrey T. Kreulen

Date: 5/31/2005  
7220 Golf Course Lane  
San Jose, CA 95139



## Declaration and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### SYSTEM & METHOD FOR MANAGING SYSTEM CONFIGURATION ACROSS A NETWORK

the specification of which (check one)

\_\_\_\_\_ is attached hereto.

X was filed on 06/12/2000 as Application Serial No. 09/591,714  
and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above- identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, '1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under Title 35, United States Code, '119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or plant breeder's rights certificate(s), or 356(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Number	Country	Day/Month/Year	Priority Claimed
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hereby claim the benefit under Title 35, United States Code, '119(e) of any United States provisional application(s) listed below:

Application Serial No.:	Filing Date
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I hereby claim the benefit under Title 35, United States Code, '120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, '112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, '1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

Prior U.S. Applications:

Serial No.	Filing Date	Status
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer No. 26502

Send all correspondence to: Customer No. 26502

Direct Telephone Calls to: Arthur J. Samodovitz (607) 429-4368

(1) Inventor: Thomas E. Chefalas

Signature: Thomas E. Chefalas 5/31/2005  
Date

Residence: 214 Briarwood Drive, Somers NY 10589

Citizenship: USA

Post Office  
Address: Same as Residence



(2) Inventor: Gary L. Cole

Signature: Gary L. Cole 5/26/2005  
Date

Residence: 425 Bornt Hill, Endicott, NY 13760

Citizenship: USA

Post Office  
Address: Same as Residence

Docket No.: END919970019US2

(3) Inventor: Jeffrey Thomas Kreulen

Signature: Jeffrey J Kreulen 5/31/2005  
Date

Residence: 7220 Golf Course Lane, San Jose CA 95139

Citizenship: USA

Post Office

Address: Same as Residence